



Lawrence Berkeley National Laboratory

Quick Reference Sheet: #eSR5802 Award Periods Different than Proposal Dates



When we do not receive funding from the sponsor in the same funding periods as proposed, we run into some difficulties with how to represent this in eSRA and retain the proposal data integrity for reporting purposes.

These scenarios require special handling:

Same number of periods, but the dates have changed:

This is the easiest and most common scenario. Simply change the beginning and ending dates for each funding allocation line to match the award making certain the award periods do not overlap.

Proposal:

Start Date	End Date	Direct Costs	LDRD	FAC	Other Indirect Costs	Total LBNL Costs
9/1/2013	9/1/2014	\$200,000.00	\$0.00	\$11,091.15	\$169,705.00	\$380,796
9/1/2014	9/1/2015	\$200,000.00	\$0.00	\$11,091.15	\$169,705.00	\$380,796
9/1/2015	8/31/2016	\$200,000.00	\$0.00	\$11,091.15	\$169,705.00	\$380,796

Award:

Start Date	End Date	Fiscal Year	Proposed Total	Originally Awarded Total	Authorized Total
9/1/2014	7/31/2015	2014	\$369,705	\$278,830.00	\$278,830.00
8/1/2015	7/31/2016		\$369,705	\$278,830.00	\$0.00
8/1/2016	7/31/2017		\$369,705	\$278,830.00	\$0.00

Award funded all at once, not in multiple funding allocations:

From a business perspective, we would want to put all the authorized funding on one of the funding allocation lines and change the dates on all funding allocation lines to be the same thus retaining the proposal amounts but clearly showing the funding arrived for the entire period.

Proposal:

Start Date	End Date	Direct Costs	LDRD	FAC	Other Indirect Costs	Total LBNL Costs
12/1/2014	12/1/2015	\$408,060.00	\$22,004.00	\$17,352.00	\$148,326.00	\$595,742
12/1/2015	11/30/2016	\$360,490.00	\$19,435.00	\$15,858.00	\$148,685.00	\$544,468

Award:

Start Date	End Date	Fiscal Year	Proposed Total	Originally Awarded Total	Authorized Total
12/2/2014	11/30/2016	2015	\$528,610	\$1,107,000.00	\$1,107,000.00
12/2/2014	11/30/2016		\$578,390	\$0.00	\$0.00

Award funded for fewer periods than proposed:

From a business perspective, we would want to indicate the final period was not funded, so we want to change that unfunded period to match the final period awarded thus retaining the proposal amounts and clearly showing it was not funded.

Example: 5 year NIH proposal funded for 4 years.

Proposal:

Start Date	End Date	Direct Costs	LDRD	FAC	Other Indirect Costs	Total LBNL Costs
7/1/2014	6/30/2015	\$478,798.00		\$21,518.07	\$238,471.00	\$738,787
7/1/2015	6/30/2016	\$492,180.00		\$21,262.11	\$216,557.00	\$729,999
7/1/2016	6/30/2017	\$505,274.00		\$21,654.93	\$216,557.00	\$743,486
7/1/2017	6/30/2018	\$515,419.00		\$21,959.28	\$216,557.00	\$753,935
7/1/2018	6/30/2019	\$526,179.00		\$22,282.08	\$216,557.00	\$765,018

Award:

Start Date	End Date	Fiscal Year	Proposed Total	Originally Awarded Total	Authorized Total
9/9/2014	6/30/2015	2014	\$717,269	\$636,612.00	\$636,612.00
7/1/2015	6/30/2016		\$708,737	\$626,868.00	\$0.00
7/1/2016	6/30/2017		\$721,831	\$626,866.00	\$0.00
7/1/2017	6/30/2018		\$731,976	\$0.00	\$0.00
7/1/2017	6/30/2018		\$742,736	\$626,866.00	\$0.00